

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: James M. Yates

GROUP:

SERIAL NO.: 10/591,416

EXAMINER:

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FOR: METHOD AND APPARATUS FOR DIGITAL COPYRIGHT EXCHANGE

St. Louis, Missouri  
February 27, 2007

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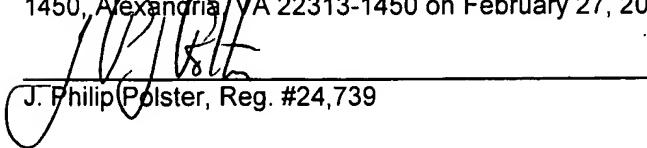
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Sir:

The attached form PTO-1449, listing information, patents and/or literature references, is being submitted within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. **Therefore, no fee is due.** Copies of any non-US patents or publications is submitted herewith.

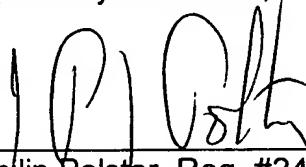
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J. Philip Polster, Reg. #24,739

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account 162201. *Duplicate copies of this sheet are enclosed.*

Respectfully submitted,



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Date: February 27, 2007

Sheet of

<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.: YATJ 8888W1	SERIAL NO.: 10/591,416 PCT #: US05/07102
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICANT: James M. Yates	
(Use several sheets if necessary)		Filing Date: 9/1/06 PCT Filed: 3/4/05	Group:

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number												Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
US	2	0	0	5	0	0	0	4	8	7	6		A1	01/05	Pou et al
US	2	0	0	3	0	0	9	7	2	9	9		A1	05/03	O'Kane et al
US	2	0	0	3	0	0	3	3	2	1	4		A1	02/03	Mikkelsen et al
US	2	0	0	4	0	1	2	8	5	1	4		A1	1/7/04	Rhoads
US	2	0	0	3	0	1	0	5	7	1	8		A1	5/6/03	Hurtado et al
US															
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**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number					Date	Country	Translation	
								Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

			International Search Report and Written Opinion for International Application Number PCT US05/07102, dated 04 March 2005 (11 pages)				

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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